

Agreement For the Transfer of Stocks to NCI Mouse Repository

Definitions:

DONOR: Scientist and Scientist's organization contributing MATERIAL to the NCI MOUSE REPOSITORY

REPOSITORY: Facility/Institution storing and shipping NCI MOUSE REPOSITORY MATERIAL

RECIPIENT: Scientist and Scientist's organization requesting and receiving NCI MOUSE REPOSITORY MATERIAL

DONOR is providing the following Mouse Stock(s) and/or related

materials: _____ (hereinafter referred to as "MATERIAL");

1. The above MATERIAL is the property of the DONOR and is made available through the REPOSITORY to the RECIPIENT as a service to the research community.

2. THIS MATERIAL IS NOT FOR USE IN HUMAN SUBJECTS, INCLUDING FOR PURPOSES OF DIAGNOSTIC TESTING.

3. The MATERIAL will be used in accordance with the terms of the Release Category (indicate below).

4. The MATERIAL obtained from the REPOSITORY by the RECIPIENT will not be further distributed to others. The RECIPIENT shall refer any outside request for the MATERIAL to the REPOSITORY.

5. The RECIPIENT agrees to acknowledge the DONOR of the MATERIAL in any publications reporting use of the MATERIAL.

6. Any MATERIAL delivered pursuant to this Agreement is understood to be experimental in nature and may have hazardous properties. THE DONOR AND REPOSITORY MAKE NO REPRESENTATIONS AND EXTEND NO WARRANTIES OF ANY KIND, EITHER EXPRESSED OR IMPLIED. THERE ARE NO EXPRESS OR IMPLIED WARRANTIES OF MERCHANTABILITY OR FITNESS FOR A PARTICULAR PURPOSE, OR THAT THE USE OF THE MATERIAL WILL NOT INFRINGE ANY PATENT, COPYRIGHT, TRADEMARK, OR OTHER PROPRIETARY RIGHTS. Unless prohibited by law, RECIPIENT assumes all liability for claims for damages against it by third parties which may arise from the use, storage or disposal of the MATERIAL except that, to the extent permitted by law, the DONOR and/or REPOSITORY shall be liable to the Recipient when the damage is caused by the gross negligence or willful misconduct of the DONOR and/or REPOSITORY.

7. The RECIPIENT agrees to use the MATERIAL in compliance with all applicable statutes and regulations, including all applicable Federal statutes and Public Health Service policies relating to the use and care of laboratory animals (see

7 U.S.C. 2131 et seq.). Additional information is available from the NIH Office of Protection from Research Risk (Telephone 301-496-7163).

8. The MATERIAL is provided by the DONOR at no cost.

9. If the MATERIAL consists of DuPont's OncoMouse® technology, please note attached **Addendum**.

The AUTHORIZED DONOR OFFICIAL (e.g., a technology licensing official) and AUTHORIZED REPOSITORY OFFICIAL must sign and return two copies of this letter to the REPOSITORY, which will subsequently return one executed copy to the DONOR. The DONOR will then send the MATERIAL to the REPOSITORY.

DONOR INFORMATION and AUTHORIZED DONOR SIGNATURE

Organization: _____

Scientist: _____

Address: _____

Telephone: _____

Fax: _____

Signature of Scientist: (optional) _____

Name of Authorized Donor Official: _____

Certification of Authorized Donor Official: I agree that before the MATERIAL is used in a product offered for sale, in a commercial manufacturing process, including quality control procedures, or to provide a commercial service, such use will be permitted only according to the DONOR-assigned Release Category (see next page for explanation):

☐A ☐B ☐C ☐D (check all that apply)

Signature of Authorized Donor

Official Date

SAMPLE

FOR REPOSITORY USE ONLY

REPOSITORY INFORMATION and AUTHORIZED REPOSITORY SIGNATURE

Name and Address of NCI MOUSE REPOSITORY:

Lisa M. Gallmon
Technology Transfer Center
National Cancer Institute
Executive Plaza South, Suite 450
6120 Executive Boulevard
Rockville, MD 20852

Name of Authorized Repository Official: LISA D. FINKELSTEIN, Ph. D

Title of Authorized Repository Official: Technology Transfer Specialist, TTC, NCI

**Certification of Authorized Repository
Official:**

This Agreement is a modified NIH Simple Letter of
Agreement.

Signature of Authorized Repository

Official Date

Donor-Assigned Release Categories:

Release Category	Description
A	Mouse stocks may be used for research or commercial purposes without an agreement with the donor.
B	Recipient must sign an agreement with the Donor's institution for commercial use* before receiving the mouse stocks.
C	Mouse stocks may be used for research purposes only. Recipient must not use or incorporate the mouse stocks for commercial purposes.
D	<u>For transfer of DuPont's OncoMouse® technology only:</u> Recipient must sign an agreement with DuPont before further transferring the mouse stocks. In addition, Recipient must sign agreements with both DuPont and Donor Institution for commercial use*.

* "[C]ommercial use of mouse stocks" shall mean, for example:

- a) use of the mouse stocks for commercial purposes, such as a product offered for sale; commercial manufacture, distribution, or provision of services including quality control procedures; or for development of a directly related secondary product or service that can be sold; or
- b) commercial marketing by recipient or recipient's licensee of a directly related secondary product developed using donor's mouse stocks.

Addendum for the Transfer of DuPont OncoMouse[®] Technology

NCI is permitted to transfer the above-referenced MATERIAL (claimed in U.S. Patents 4,736,866, 5,087,571, and 5,925,803, collectively known as "the DuPont patent rights") under the following conditions:

a) For OncoMouse[®] Materials: Non-profit RECIPIENT may use MATERIAL for its internal noncommercial research purposes only. The MATERIAL will not be used for any commercial purpose or for the direct benefit of any for-profit institution (except as may be permitted under written agreement between the RECIPIENT'S institution and DuPont). Accordingly and without limitation, the RECIPIENT is not permitted under this Transfer Agreement to use any MATERIAL to test compounds for any commercial purpose or for the direct benefit of any for-profit institution or use the MATERIAL for the production of products for any commercial purpose or for the direct benefit of any for-profit institution.

The MATERIAL may not be further transferred by RECIPIENT to any other party (except as may be permitted under written agreement between RECIPIENT and DuPont).

b) The non-profit RECIPIENT institution is notified by PHS of the existence of the DuPont patent rights and that the restrictions set forth under (a) above shall exist only during the term of these patent rights.

c) With respect to further license rights to these patent rights, the RECIPIENT should contact:

Director, Corporate Technology Transfer
E.I. DuPont de Nemours and Company
Chestnut Run Plaza, 708/138B
Wilmington, Delaware 19807-0708
Telephone: 302-999-3249
Fax number: 302-999-3254

with copy to:
Vice President, Product Planning & Acquisition
DuPont Pharmaceuticals Company
974 Centre Road, Chestnut Run Plaza, WR722
Wilmington, Delaware 19807-2802